

# Mass Transfer Dynamics of the Evaporation Step in Membrane Formation by Phase Inversion

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**ABSTRACT:** A ternary diffusion model has been developed for the evaporation step of the phase inversion process. The model is applied to the analysis of mass transfer dynamics of the evaporation step for the methanol–acetone–cellulose acetate (CA) ternary casting system. The combined analysis of quantitatively computational results from the ternary evaporation model and qualitative dynamic results during the quench process has shown that the evaporation step is essentially necessary to prepare the defect-free, ultrathin skinned asymmetric CA membrane for the separation of CO<sub>2</sub>/CH<sub>4</sub>. The skin layer of high CA concentration obtained by evaporation has an ability to suppress liquid–liquid phase separation. And the skin layer with high tensile strength can resist the interfacial tension caused by spinodal

decomposition from the substructure. Although the CA concentration in the skin layer increases considerably because of the evaporation step and the following delay time during the quench process, the substructure can still induce the spinodal decomposition because the strong coagulant, methanol, can diffuse rapidly across the ultrathin skin layer. Hence the defect-free, ultrathin-skinned asymmetric membrane for gas separation can be prepared from methanol–acetone–CA casting system by evaporation step and the wet phase inversion. © 2002 Wiley Periodicals, Inc. *J Appl Polym Sci* 86: 1564–1571, 2002

**Key words:** diffusion; evaporation model; integrally skinned; theory

## INTRODUCTION

Integrally skinned asymmetric gas separation membranes were prepared by dry, wet, and dry/wet phase inversion processes.<sup>1–5</sup> Pinnau et al. fabricated essentially defect-free integrally skinned asymmetric polysulfone membranes by dry/wet phase inversion process using forced-convective evaporation.<sup>2,3</sup> Hao et al. prepared integrally skinned asymmetric cellulose acetate membranes by the wet phase inversion.<sup>4,5</sup> The evaporation step was thus determined to be a key to the formation of integrally defect-free skin by the inversion process. To analyze the formation mechanism on the integrally skinned defect-free asymmetric membrane, a diffusion model has to be developed for accurately describing the diffusion phenomena during evaporation, especially concentration profiles in the polymer film during evaporation.

However, the general assumptions for all evaporation models are those of polymer–solvent binary diffusion. From the earliest evaporation models presented by Anderson and Ullman,<sup>6</sup> Ataka and Sasaki,<sup>7</sup> Castellaru and Ottani,<sup>8,9</sup> and Krantz<sup>10</sup> to the mass transfer dynamics model of the evaporation step presented by Tsay and McHugh,<sup>11</sup> and Wang,<sup>12</sup> the diffusion models for the evaporation step have been

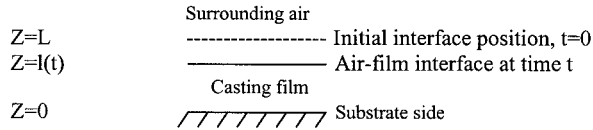
improved gradually on the basis of their rigorous, reasonable form and ability to predict the actual composition in the polymer film during the evaporation. But most of the actual cast systems are involved in the ternary components or more. The binary diffusion models developed previously for the evaporation step have been unable to meet with the actual requirement. There is a clear and urgent need for the ternary mass transfer dynamics of the evaporation step in membrane formation by phase inversion process that incorporates the effect of the moving air–film interface, concentration dependence of the mutual diffusivity, and time-dependent mass transfer coefficient.

In the following sections, ternary diffusion models for the evaporation step are set up on the basis of the existing binary models and Tsay's ternary diffusion model to describe the mass transfer processes associated with the quench bath period of the phase inversion process for membrane formation.<sup>11,13,14</sup> We calculated the composition change during the evaporation for a specific membrane-forming system, methanol–acetone–cellulose acetate (CA). In addition, the role of evaporation was analyzed for the integrally skinned asymmetric CA membranes made by the wet phase inversion for removal of CO<sub>2</sub> from natural gas.<sup>4,5</sup>

## DEVELOPMENT OF EVAPORATION MODEL

The geometry of evaporation model is shown in Figure 1. Basic assumptions are: (1) one dimensional, iso-

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**Figure 1** Schematic of coordinates defining evaporation geometry. The initial cast film interface is at  $L$ , and  $l(t)$  represents an arbitrary location at time  $t$  of the same.

thermal, Fickian diffusion; (2) polymer–solvent–non-solvent ternary system; (3) no volume change on mixing; (4) ideal gas behavior on air side; (5) gas–liquid equilibrium at the air–film interface.

Starting from first principles,<sup>15</sup> the following three continuity equations hold for one-dimensional transfer:

$$\frac{\partial \rho}{\partial t} = -\frac{\partial}{\partial z} (n_1 + n_2 + n_3) \quad (1)$$

$$\frac{\partial \rho_1}{\partial t} = -\frac{\partial n_1}{\partial z} \quad (2)$$

$$\frac{\partial \rho_2}{\partial t} = -\frac{\partial n_2}{\partial z} \quad (3)$$

where  $\rho_i$  and  $n_i$  are, respectively, the mass concentration and mass flux of component  $i$  with respect to fixed coordinates. The subscripts refer to nonsolvent (1), solvent (2), and polymer (3). Consistent with the use of modified Flory–Huggins theory for solution thermodynamics, we assume constant partial specific volume. As a consequence, the bulk flow terms associated with the volume-average velocity can be shown to be identically zero,<sup>16</sup> and therefore  $n_i$  becomes identical to the mass flux of component  $i$  with respect to the volume-average velocity. In this way, volume fraction of component  $\phi_i$  can replace  $\rho_i$ . To facilitate numerical calculations, the following coordinate transformation is used to immobilize the interface position:

$$\eta = \frac{z}{l} \quad (4)$$

The final set of diffusion equations in the film side can be written in dimensionless form as follows:<sup>13, 14</sup>

$$\frac{\partial \phi_1}{\partial t} = \frac{\eta}{l} \frac{dl}{dt} \frac{\partial \phi_1}{\partial \eta} + \frac{1}{l^2} \frac{\partial}{\partial \eta} \left( \frac{D_{11}}{D_0} \frac{\partial \phi_1}{\partial \eta} + \frac{\hat{V}_1}{\hat{V}_2} \frac{D_{12}}{D_0} \frac{\partial \phi_2}{\partial \eta} \right) \quad (5)$$

$$\frac{\partial \phi_2}{\partial t} = \frac{\eta}{l} \frac{dl}{dt} \frac{\partial \phi_2}{\partial \eta} + \frac{1}{l^2} \frac{\partial}{\partial \eta} \left( \frac{\hat{V}_2}{\hat{V}_1} \frac{D_{21}}{D_0} \frac{\partial \phi_1}{\partial \eta} + \frac{D_{22}}{D_0} \frac{\partial \phi_2}{\partial \eta} \right) \quad (6)$$

Initial conditions:

$$\phi_1(\eta, 0) = \phi_{10} \quad 0 \leq \eta \leq 1 \quad (7)$$

$$\phi_2(\eta, 0) = \phi_{20} \quad 0 \leq \eta \leq 1 \quad (8)$$

Boundary conditions:

The boundary conditions between the membrane and the support:

$$\frac{\partial \phi_1}{\partial \eta} = 0 \quad \eta = 0 \quad (9)$$

$$\frac{\partial \phi_2}{\partial \eta} = 0 \quad \eta = 0 \quad (10)$$

The boundary conditions of the film–air interface can get by the mass transfer equilibrium:

$$\frac{d}{dt} \int_0^{l(t)} \rho_1 dz = -k_1(\rho_{1gt} - \rho_{1g\infty}) \quad z = l(t) \quad (11)$$

$$\frac{d}{dt} \int_0^{l(t)} \rho_2 dz = -k_2(\rho_{2gt} - \rho_{2g\infty}) \quad z = l(t) \quad (12)$$

where  $\rho_i$ ,  $t$ , and  $z$  represent the mass density of component  $i$ , time, and position, respectively;  $k$  is the gas side mass-transfer coefficient; and the subscripts 0, g, t, and  $\infty$  refer respectively to the initial value, gas phase side, air–film interface, and position away from the interface. Conservation of polymer mass gives the following equation for the moving interface:

$$\int_0^{l(t)} \rho_3 dz = \int_0^L \rho_{30} dz \quad (13)$$

Because ideal gas and equilibrium are assumed, the compositions of solvent and nonsolvent at the gas side of the interface can be written in terms of the activities of solvent ( $a_1$ ) and nonsolvent ( $a_2$ ) on the polymer film side as:<sup>17</sup>

$$\rho_{1gt} = a_1 P_1^{\text{sat}} / \hat{V}_{1g} P \quad (14)$$

$$\rho_{2gt} = a_2 P_2^{\text{sat}} / \hat{V}_{2g} P \quad (15)$$

where  $P$  is the total pressure,  $P_i^{\text{sat}}$  is the pure solvent or nonsolvent vapor pressure, and  $\hat{V}_{ig}$  is the partial specific volume of the solvent and nonsolvent in the gas phase. The activities are evaluated from chemical potential of components  $i$ :<sup>17</sup>

$$a_i = \exp(\Delta\mu_i/RT) \quad (16)$$

where  $\Delta\mu_i$  can be obtained from Flory–Huggins theory:<sup>18</sup>

$$\begin{aligned} \Delta\mu_1/RT = & \ln \phi_1 + 1 - \phi_1 - \frac{\nu_1}{\nu_2} \phi_2 - \frac{\nu_1}{\nu_3} \phi_3 \\ & + (g_{12}\phi_2 + g_{13}\phi_3)(\phi_2 + \phi_3) - g_{23} \frac{\nu_1}{\nu_2} \phi_2 \phi_3 \\ & - u_1 u_2 \phi_2 \left( \frac{dg_{12}}{du_2} \right) - \phi_1 Y_3^2 \left( \frac{dY_3}{dY_3} \right) \end{aligned} \quad (17)$$

$$\begin{aligned} \Delta\mu_2/RT = & \ln \phi_2 + 1 - \phi_2 - \frac{\nu_2}{\nu_1} \phi_1 - \frac{\nu_2}{\nu_3} \phi_3 \\ & + \left( g_{12} \frac{\nu_2}{\nu_1} \phi_1 + g_{23}\phi_3 \right) (\phi_1 + \phi_3) - g_{13} \frac{\nu_2}{\nu_1} \phi_1 \phi_3 \\ & + u_1 u_2 \frac{\nu_2}{\nu_1} \phi_1 \left( \frac{dg_{12}}{du_2} \right) - \phi_2 W_3^2 \left( \frac{dW_3}{dW_3} \right) \end{aligned} \quad (18)$$

where  $\phi_i$  is the volume fraction of component  $i$ ;  $R$  and  $T$  have their usual significance of gas constant and temperature, respectively;  $g_{12}$  is the solvent–nonsolvent interaction parameter and it is assumed to be a function of  $u_2$ ;  $g_{13}$  is the nonsolvent–polymer interaction parameter and it is assumed to be a function of  $Y_3$ ;  $g_{23}$  is the solvent–polymer interaction parameter and it is assumed to be a function of  $W_2$ . The quantities  $u_1$  and  $u_2$  are given by  $u_1 = \phi_1/(\phi_1 + \phi_2)$ , and  $u_2 = \phi_2/(\phi_1 + \phi_2)$ . The quantities  $Y_1$  and  $Y_3$  are given by  $Y_1 = \phi_3/(\phi_1 + \phi_3)$ , and  $Y_3 = \phi_3/(\phi_1 + \phi_3)$ . The quantities  $W_2$  and  $W_3$  are given by  $W_2 = \phi_2/(\phi_2 + \phi_3)$ ,  $W_3 = \phi_3/(\phi_2 + \phi_3)$ .

Because evaporation is usually carried out under free convection conditions, the following expression for the mass transfer coefficient  $k$  is appropriate:<sup>19</sup>

$$\frac{k_i L_c y_{\text{iair,lm}}}{D_{ig}} = 0.27(Gr_i Sc_i)^{0.25} \quad (10^5 \leq Gr_i Sc_i \leq 10^{10}) \quad (19)$$

where  $y_{\text{iair,lm}}$  is the log mean mole fraction difference of the air, and  $L_c$  and  $D_g$  are, respectively, a characteristic length of the film surface and the mutual diffusion coefficient of the air–solvent gas phase. The Grashof and Schmidt numbers have their standard definitions:

$$Gr_i = \frac{L_c^3 \rho_g^2 g |\zeta_i (y_{\text{igt}} - y_{\text{ig}\infty})|}{\mu_g^2} \quad (20)$$

$$Sc_i = \frac{\mu_g}{\rho_g D_{ig}} \quad (21)$$

where  $\rho_g$ ,  $g$ , and  $\mu_g$  represent the total mass density of gas phase, the gravitational constant, and viscosity of gas mixture, respectively. The coefficient  $\zeta$  represents the effect of the concentration profile on the gas density and is given by:

$$\zeta_i = - \frac{1}{\rho_g} \left( \frac{\partial \rho_g}{\partial y_i} \right)_{P,T} \quad (22)$$

After substitution of eqs. 20–22 into eq. 19, the equation for the mass transfer coefficient  $k$  can become

$$k_1 = \frac{0.27}{y_{1\text{air,lm}}} \left( \frac{PgD_{1g}^3 |(M_{\text{air}} - M_1)(y_{1\text{gt}} - y_{1g\infty})|}{RTL_c \mu_g} \right)^{0.25} \quad (23)$$

$$k_2 = \frac{0.27}{y_{2\text{air,lm}}} \left( \frac{PgD_{2g}^3 |(M_{\text{air}} - M_2)(y_{2\text{gt}} - y_{2g\infty})|}{RTL_c \mu_g} \right)^{0.25} \quad (24)$$

Application of the similar coordinate transformation  $\eta = z/l$  for eqs. 11–13 along with substitution of the volume fraction as the independent variable for constant partial specific volume, leads to the other two boundary conditions for eqs. 5 and 6:

$$\frac{d}{dt} \left( l \int_0^1 \phi_1 d\eta \right) = - \frac{k_1 \hat{V}_1}{\hat{V}_{1g}} \left( \frac{P_1^{\text{sat}}}{P} a_1 - y_{1g\infty} \right) \quad \eta = 1 \quad (25)$$

$$\frac{d}{dt} \left( l \int_0^1 \phi_2 d\eta \right) = - \frac{k_2 \hat{V}_2}{\hat{V}_{2g}} \left( \frac{P_2^{\text{sat}}}{P} a_2 - y_{2g\infty} \right) \quad \eta = 1 \quad (26)$$

where

$$l = L \frac{\int_0^1 \phi_{30} d\eta}{\int_0^1 \phi_3 d\eta} \quad (27)$$

The numerical calculations are carried out using the algorithm published in references 5 and 14.

## DETERMINATION OF MODEL PARAMETERS

### Ternary diffusion coefficients

Consistent with the assumption of constant molar volume, we will use the formulation for the diffusion coefficients derived by Vrentas et al.<sup>20</sup> Rewriting their results in terms of volume fraction gives the following:

$$D_{11} = - \frac{\hat{V}_1}{N_A^2 E} \left( E_{22} \frac{\partial \mu_1}{\partial \phi_1} - E_{12} \frac{\partial \mu_2}{\partial \phi_1} \right) \quad (28)$$

$$D_{12} = - \frac{\hat{V}_2}{N_A^2 E} \left( E_{22} \frac{\partial \mu_1}{\partial \phi_2} - E_{12} \frac{\partial \mu_2}{\partial \phi_2} \right) \quad (29)$$

$$D_{21} = - \frac{\hat{V}_1}{N_A^2 E} \left( E_{11} \frac{\partial \mu_2}{\partial \phi_1} - E_{21} \frac{\partial \mu_1}{\partial \phi_1} \right) \quad (30)$$

$$D_{22} = -\frac{\hat{V}_2}{N_A^2 E} \left( E_{11} \frac{\partial \mu_2}{\partial \phi_2} - E_{21} \frac{\partial \mu_1}{\partial \phi_2} \right) \quad (31)$$

where  $N_A$  is Avogadro's number and  $D_{ij}$  is the phenomenological ternary diffusion coefficient at casting film side.

$$E_{11} = \frac{\hat{V}_1 \phi_2 \zeta_{12}}{\nu_2 \phi_3} - \frac{RT \hat{V}_1 (1 - \phi_2)}{N_A^2 D_{T1} \phi_1 \phi_3} \quad (32)$$

$$E_{12} = \frac{(1 - \phi_1) \zeta_{12}}{M_2 \phi_3} - \frac{RT \hat{V}_2}{N_A^2 D_{T1} \phi_3} \quad (33)$$

$$E_{21} = \frac{(1 - \phi_2) \zeta_{12}}{M_1 \phi_3} - \frac{RT \hat{V}_1}{N_A^2 D_{T2} \phi_3} \quad (34)$$

$$E_{22} = \frac{\hat{V}_2 \phi_1 \zeta_{12}}{\nu_1 \phi_3} - \frac{RT \hat{V}_2 (1 - \phi_1)}{N_A^2 D_{T2} \phi_2 \phi_3} \quad (35)$$

$$E = -\frac{\zeta_{12}^2}{M_1 M_2 \phi_3} + \frac{R^2 T^2 \hat{V}_1 \hat{V}_2}{N_A^4 D_{T1} D_{T2} \phi_1 \phi_2 \phi_3} \quad (36)$$

$$D_{T1} = \frac{RT}{N_A^2 \left( \frac{\phi_2 \zeta_{12}}{\nu_2} + \frac{\phi_3 \zeta_{13}}{\nu_3} \right)} \quad (37)$$

$$D_{T2} = \frac{RT}{N_A^2 \left( \frac{\phi_1 \zeta_{12}}{\nu_1} + \frac{\phi_3 \zeta_{23}}{\nu_3} \right)} \quad (38)$$

where,  $M_i$  and  $\nu_i$  are the molecular weight and pure molar volume of component  $i$ , respectively, and  $\zeta_{ij}$  represents the friction coefficient between components  $i$  and  $j$ . Expression for the chemical potentials and their derivatives are given from eqs. 17 and 18:

$$\begin{aligned} \frac{\partial(\Delta\mu_1/RT)}{\partial\phi_1} &= \frac{1}{\phi_1} - 1 + \frac{\nu_1}{\nu_3} + \phi_2 \left( \frac{\nu_1}{\nu_2} g_{23} - g_{12} \right) \\ &- g_{13}(\phi_2 + 2\phi_3) + u_2^2(u_1 - u_2 - \phi_2 - \phi_3) \frac{dg_{12}}{du_2} \\ &+ Y_3(2Y_1 - Y_3 - \phi_2 - \phi_3) \frac{dg_{13}}{dY_3} + \frac{\nu_1}{\nu_2} \phi_2 W_2 W_3 \frac{dg_{23}}{dW_3} \\ &+ u_1 u_2^3 \left( \frac{d^2 g_{12}}{du_2^2} \right) + Y_1 Y_3^2 \left( \frac{d^2 g_{13}}{dY_3^2} \right) \quad (39) \end{aligned}$$

$$\begin{aligned} \frac{\partial(\Delta\mu_1/RT)}{\partial\phi_2} &= \frac{\nu_1}{\nu_3} - \frac{\nu_1}{\nu_2} + (\phi_2 + \phi_3)(g_{12} - g_{13}) \\ &+ \frac{\nu_1}{\nu_2} g_{23}(\phi_2 - \phi_3) + u_1 u_2 (u_2 - u_1 - \phi_1) \frac{dg_{12}}{du_2} \end{aligned}$$

$$\begin{aligned} &+ Y_1 Y_3 (2Y_1 - \phi_2 - \phi_3) \frac{dg_{13}}{dY_3} + \frac{\nu_1}{\nu_2} \phi_2 W_3 \frac{dg_{23}}{dW_3} \\ &- u_1^2 u_2^2 \left( \frac{d^2 g_{12}}{du_2^2} \right) + Y_1 Y_3^2 \left( \frac{d^2 g_{13}}{dY_3^2} \right) \quad (40) \end{aligned}$$

$$\begin{aligned} \frac{\partial(\Delta\mu_2/RT)}{\partial\phi_1} &= \frac{\nu_2}{\nu_3} - \frac{\nu_2}{\nu_1} + (\phi_1 + \phi_3) \left( \frac{\nu_2}{\nu_1} g_{12} - g_{23} \right) \\ &+ (\phi_1 - \phi_3) \frac{\nu_2}{\nu_1} g_{13} + u_1 u_2 \frac{\nu_2}{\nu_1} (\phi_2 + u_2 - u_1) \frac{dg_{12}}{du_2} \\ &+ W_2 W_3 (2W_2 - \phi_1 - \phi_3) \frac{dg_{23}}{dW_3} + \frac{\nu_2}{\nu_1} \phi_1 Y_3 \frac{dg_{13}}{dY_3} \\ &- u_1^2 u_2^2 \frac{\nu_2}{\nu_1} \left( \frac{d^2 g_{12}}{du_2^2} \right) + W_2^2 W_3^2 \left( \frac{d^2 g_{23}}{dW_3^2} \right) \quad (41) \end{aligned}$$

$$\begin{aligned} \frac{\partial(\Delta\mu_2/RT)}{\partial\phi_2} &= \frac{1}{\phi_2} - 1 + \frac{\nu_2}{\nu_3} + \frac{\nu_2}{\nu_1} \phi_1 (g_{13} - g_{12}) \\ &- g_{23}(\phi_1 + 2\phi_3) + u_1^2 \frac{\nu_2}{\nu_1} (u_1 - u_2 + \phi_1 + \phi_3) \frac{dg_{12}}{du_2} \\ &+ W_3 (2W_2 - W_3 - \phi_1 - \phi_3) \frac{dg_{23}}{dW_3} + \frac{\nu_2}{\nu_1} \phi_3 Y_1 \frac{dg_{13}}{dY_3} \\ &+ u_1^3 u_2 \frac{\nu_2}{\nu_1} \left( \frac{d^2 g_{12}}{du_2^2} \right) + W_2 W_3^2 \left( \frac{d^2 g_{23}}{dW_3^2} \right) \quad (42) \end{aligned}$$

The binary  $\zeta_{12}$  and  $\zeta_{23}$  are related to the mutual diffusion coefficient and sedimentation measurement and are given as:<sup>13, 21, 22</sup>

$$\zeta_{12} = \frac{\nu_2 \phi_1}{N_A^2 D} \left( \frac{d\mu_1}{d\phi_1} \right) \quad (43)$$

$$\zeta_{23} = \frac{M_2 \nu_3 (1 - \phi_3) (\rho \hat{V}_2 - 1) g}{N_A^2 \phi_3 u_3} \quad (44)$$

where  $D$ ,  $u_3$ , and  $g$  are the mutual diffusion coefficient, the polymer velocity with respect to fixed coordinates, and the centrifugal field, respectively.

Because reliable data for  $\zeta_{13}$  by either diffusion or sedimentation measurements are generally not available, following Reuvers et al.,<sup>13, 21</sup> we will assume that the binary friction coefficients are proportional and therefore

$$\zeta_{13} = C \frac{\nu_1}{\nu_2} \zeta_{23} \quad (45)$$

where  $C$  is a constant. The value can best be estimated from diffusion data. To do so, we note that the self-diffusion coefficient of component 1 in a 1-3 binary system is defined as<sup>20</sup>

TABLE I  
Model Parameters for the Cellulose Acetate-Acetone System

Parameter	Value
Molecular weight	$M_2 = 58.08, M_3 = 27000$ g/mol
Specific volume	$V_2 = 1.266, V_3 = 0.7634$
Initial film thickness	$L = 0.010$ cm
Characteristic diffusivity	$D_0 = 10^{-5}$ cm <sup>2</sup> /s
Thermodynamic interaction parameter	$g_{23} = 0.535 + 0.11W_3$
Friction coefficient	$\zeta_{23} = (\nu_3 RT)/(1.66N_A^2) \times 10^{4+5.17W_3}$
Pure molar volume	$\nu_2 = 74.05, \nu_3 = 20000$ cm <sup>3</sup> /g · mol

$$D_1 = \frac{RT}{N_A^2 \left( \frac{\phi_1 \zeta_{11}}{\nu_1} + \frac{\phi_3 \zeta_{13}}{\nu_3} \right)} \quad (46)$$

$$\mu_g = \frac{\sum_{i=1}^n y_i \mu_i^0}{\sum_{j=1}^n y_j \phi_{ij}} \quad (49)$$

Because  $\phi_1$  tends to zero, eq. 46 leads to

$$D_1 = \frac{\nu_3 RT}{N_A^2 \zeta_{13}} = \frac{\nu_2 \nu_3 RT}{N_A^2 C \nu_1 \zeta_{23}} \quad (47)$$

$$\phi_{ij} = \left( \frac{\mu_i^0}{\mu_j^0} \right)^{0.5} S_{ij} A_{ij} \quad (50)$$

Vrentas et al. have shown that  $D_1$  is equal to the mutual diffusion coefficient,  $D$ , as  $\phi_1 \rightarrow 0$ .<sup>20</sup> Hence, the  $C$  value can be determined as soon as  $D$  and  $\zeta_{23}$  are available. Some of the required model parameters for 25 °C and 1 atm are listed in Table I.

The relation between  $\zeta_{13}$  and  $\zeta_{23}$  is assumed in the form of eq. 45. In the limit of zero concentration of component 1 in the 1–3 binary system, application of eqs. 45 and 47 and Table I yields

$$D_{1\phi_1 \rightarrow 0} = \frac{8.3 \times 10^{-8}}{C \nu_1} \text{ cm}^2/\text{s} \quad (48)$$

$$A_{ij} = m_{ij} M_{ij}^{-0.5} \times \left( 1 + \frac{M_{ij} - M_{ij}^{0.45}}{2(1 + M_{ij}) + \frac{(1 + M_{ij}^{0.45})}{(1 + m_{ij})m_{ij}^{0.5}}} \right) \quad (51)$$

$$m_{ij} = \left( \frac{4}{(1 + M_{ij}^{-1})(1 + M_{ij})} \right)^{0.25} \quad (52)$$

$$M_{ij} = M_i/M_j \quad (53)$$

when  $0.4 < M_i/M_j < 1.33$ , and  $A_{ij} = (M_i/M_j)^{-0.37}$ , then

$D_{\phi_1 \rightarrow 0}$  and  $D_{1\phi_1 \rightarrow 0}$  for the binary system of acetone and methanol can be estimated from Wilke–Chung equation and experimental results from Laatikainen.<sup>23, 24</sup>

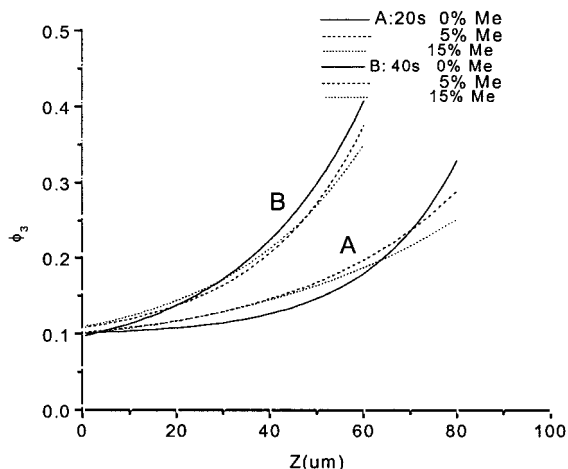
The value of  $D_g$  comes from reference 25 at 25 °C and 1 atm. Saturated vapor pressure comes from reference 26. Viscosity of pure material comes from reference 27. the  $\mu_g$  values for polar gas mixtures are applicable.<sup>28</sup>

$$S_{ij} = S_{ji} = \frac{1 + (T_i^* T_j^*)^{0.5} + \frac{\delta_i \delta_j}{4}}{\left( 1 + T_i^* + \frac{\delta_i^2}{4} \right)^{0.5} \left( 1 + T_j^* + \frac{\delta_j^2}{4} \right)^{0.5}} \quad (54)$$

$$T_i^* = \frac{T}{\varepsilon_i/k} \quad (55)$$

TABLE II  
Model Parameters for the Cellulose Acetate–Acetone System

Parameter	Value
Molecular weight (g/gmol)	$M_{\text{air}} = 28.97$
Specific volume (cm <sup>3</sup> /g)	$V_{1g} = 763.59, V_{2g} = 421.23$
Initial film thickness (cm)	$L = 0.010, L_c = 10$
Saturated vapor pressure (atm)	$p_2^{\text{sat}} = 0.3, p_1^{\text{sat}} = 0.172$
Diffusivity of air with acetone, methanol	$D_{2g} = 0.128, D_{1g} = 0.159$
Viscosity (cp.)	$\mu_2^0 = 0.077, \mu_{\text{air}}^0 = 0.018, \mu_1^0 = 0.0094$
Stockmayer parameter	$\delta_2 = 0.11, \delta_{\text{air}} = 0.0, \delta_1 = 0.5$
Lennard–Jones parameter	$\varepsilon_2/k = 560.2, \varepsilon_{\text{air}}/k = 78.6, \varepsilon_1/k = 481.0$



**Figure 2** The effect of evaporation time on polymer concentration profile.

where  $\delta_i$  and  $\varepsilon_i/k$  represent the Stockmayer parameter<sup>29</sup> and the Lennard-Jones parameter of component  $i$ ,<sup>30</sup> respectively. The rest of the model parameters are listed in Table II.

#### ANALYSIS OF MASS TRANSFER DYNAMICS OF EVAPORATION STEP

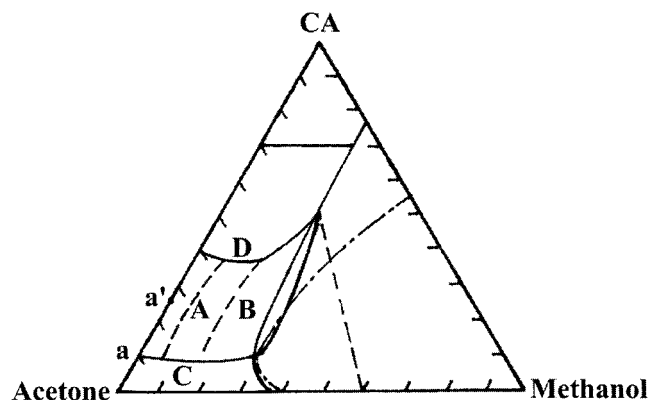
Essentially defect-free integrally skinned asymmetric CA membranes were fabricated by the wet phase inversion process with an evaporation step from the CA-acetone-methanol casting system. Optimum membranes consist of an ultrathin skin layer, a thin transition layer, and an open-cell, honeycomb-like substructure, and exhibit high selectivities combined with high gas fluxes for the separation of  $\text{CO}_2/\text{CH}_4$  without the necessity of an additional heat treating and multistage exchange process. It not only depends on the choice of the quench medium, methanol, but is much more relative to the evaporation time during the evaporation process.<sup>4, 5, 18</sup>

To elucidate the importance of the evaporation step for the defect-free integrally skinned asymmetric CA membranes, we analyzed quantitatively the component concentration change during the evaporation process. The behavior of the CA concentration profile in the casting solution for various evaporation times is shown in Figure 2. With increasing evaporation time, CA concentrations in the casting membrane increase, and the CA concentration gradient near the interface is steeper. The concentration distribution of every component in the casting membrane change with the addition of methanol in the casting solution. The saturated vapor pressure of methanol is less than that of acetone. The lower the CA concentration at the interface, the higher the methanol content in the casting membrane because the total evaporation rate decrease under the same evaporation time. But the concentra-

tions of the components versus the substrate side of the casting membrane remain nearly unchanged.

Although the evaporation process is absolutely necessary for the preparation of the defect-free integrally skinned asymmetric CA membranes, the quench step of membrane formation, at which time solvent-non-solvent exchange and eventual polymer precipitation take place, plays a controlling role in the determination of ultimate membrane structure. Hence, only under the appropriate combination of evaporation conditions and quench steps can the ideal morphological structure with defect-free, ultrathin skin, loose support layer be obtained. A ternary thermodynamic diagram of the methanol-acetone-CA system is shown in Figure 3.<sup>18</sup> Curves A and B represent the concentration profiles in the casting membrane at the end of the evaporation step and prior to quenching, respectively. Curves C and D represent typical quenching composition paths in the membrane at the point of phase separation at low and high CA concentration in the casting membrane, respectively, which were estimated by Tsay's ternary quench process.<sup>13</sup>

Computational results and experiments have shown that without evaporation and even if there is no methanol in the casting solution, the whole of the casting membranes between the CA concentrations of 17 ~25 wt % by the wet phase inversion induce spinodal decomposition (as shown in Figure 4 of reference 4 and in Figure 5-3 in reference 5). Furthermore, experimental separation performances of the membrane for the mixture of  $\text{CO}_2/\text{CH}_4$  are low. The computational predictions are in agreement with experimental measurement and morphological structure. But when there exists an evaporation step prior to the wet inversion, the initial composition location of the casting



**Figure 3** The ternary phase diagram for methanol-acetone-CA system and casting membrane profiles associated with evaporation and quench steps.<sup>13, 18</sup>The composition path for casting solution of 17 wt % CA concentration at the end of evaporation period: line aa': 0 wt % methanol; line A: 5 wt % methanol; line B: 15 wt % methanol; line C: spinodal decomposition concentration profile from substructure; line D: the concentration profile of the skin layer with higher CA concentration.

membrane on the ternary diagram will change significantly, as shown in line *aa'*, path A, path B at the beginning of the quench step. The higher the methanol in the casting membrane, the closer to the binodal curves the initial concentration profile location on the ternary phase diagram. However, even in the presence of an evaporation step, the gas separation performance of the membrane prepared by the binary casting solution of acetone-CA without methanol is still unsatisfactory (as shown in reference 5). In the presence of an evaporation step, the initial concentration profile of the casting membrane will be nonuniform, as shown in line *aa'* of Figure 3. When the membranes were immersed in the coagulation of methanol, there was a certain time interval between the moment of immersion of the casting solution in the bath of methanol and the onset of demixing. During this so-called delay time, there is a large outflow of acetone from the casting nonuniform membrane, whereas the inflow of methanol is relatively small. In particular, the CA concentration of skin layer in the casting membrane prior to quench is higher. Therefore, the CA concentration will increase further; that is, line *aa'* will extend up along the axis of CA-acetone. The diffusion rate of methanol in the skin layer will reduce. And, because there is no methanol at the initial casting membrane, it will take a longer time to induce the spinodal decomposition of the substructure by the diffusion of enough methanol into it. During this period, not only the skin layer becomes thicker and denser, but the denser transition layer will appear. The thicker, denser transition layer will make the substructure resistant to a gas transport increase, so that the membrane performance for the separation of  $\text{CO}_2/\text{CH}_4$  decreases.

With the increase of methanol in the cast solution, the ratio of methanol in the casting membrane will increase because more acetone evaporates and diffuses into the coagulation bath of methanol in the delayed interval. So, the composition change of the skin layer could be reasonably hypothesized as path D. Although the CA concentration of the skin layer is higher, sufficient methanol in the substructure that maintained a low CA initial concentration still provided with the possibility of spinodal decomposition. In addition, the ultrathinness of the skin layer with high CA concentration is also an essential requirement to induce the spinodal decomposition because the medium methanol in the coagulation bath diffuses rapidly across the thinner skin layer and spreads into the substructure.<sup>5</sup> Although the substructure at the much higher methanol content over a value in the casting solution induces spinodal decomposition in the quench, the methanol ratio of the skin layer increases possibly across the binodal line and makes a microphase separation by the nucleation and growth mechanism even during the evaporation step because the volatility of acetone is greater than that of methanol. Obviously, such skin layers contained defects or

pores. Hence, in the presence of an evaporation step, the substructure of the casting membrane made from methanol-acetone-CA system still remains the characteristic on the fast spinodal decomposition only when methanol content in the casting solution is in the range 5–15 wt %.

Evaporation steps prior to the quench process have played a decisive role in the formation of the defect-free, ultrathin skin at the top of the open-cell substructure for methanol-acetone-CA system. In Cahn's pioneering work on spinodal decomposition, it was pointed out that a finely mazed three-dimensional network evolves during the initial stages of spinodal decomposition.<sup>31, 32</sup> However, coarsening of the original network occurs at later stages of the phase separation process as a result of the interfacial tension between the two phases. This process leads eventually to a partial decomposed liquid-liquid phase separated morphology consisting of a highly regular, bicontinuous network of the polymer-rich and the polymer-poor phases. The spinodal structure, which is microporous, only meets one of the three requirements for ideal asymmetrical membrane for gas separations; that is, the substructure should not contribute any resistance to gas transport, but provide sufficient mechanical strength to support the delicate skin layer during high pressure operation.<sup>34</sup> If the defect-free, ultrathin-skinned layer would form on the spinodal substructure simultaneously, then the skin layer must be provided with at least the following conditions: (1) the skin layer itself has an ability to suppress liquid-liquid phase separation by nucleation and growth or by spinodal decomposition; and (2) the tensile strength of skin layer is high enough to avoid the rupture that would be caused by the spinodal phase separation from the substructure. Wijmans et al. have testified that at high polymer concentrations, polymer solutions are able to form a three-dimensional network and, in that case, the fluid system is transformed into a gel.<sup>35</sup> The gelation has an ability to suppress liquid-liquid phase separation.

The modulus versus temperature for amorphous polymers typically has five regions of behavior, as shown schematically in Figure 4.<sup>33</sup> By analogy, at a constant temperature, the modulus of the phase-separated polymer-rich phase is a strong function of the amount of solvent present and can change due to evaporation. Loss of plasticizing solvent from the polymer-rich phase in this case is analogous to reducing the temperature in the aforementioned plot for pure polymer. In the isothermal solvent-moderated case, if the effective glass transition temperature of the polymer-rich phase approaches the casting temperature, its modulus quickly rises by orders of magnitude and loses deformability. Burghardt et al. also have shown that a glass transition line exists on the ternary diagram.<sup>36</sup> Although CA concentration at the interface also increases considerably during the delay time of

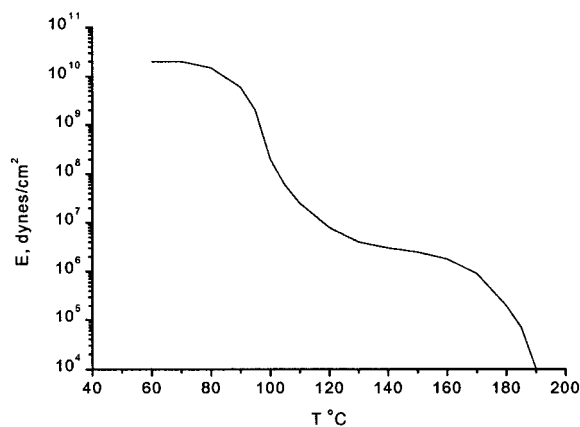


Figure 4 The modulus versus temperature for a typical amorphous polymer.<sup>33</sup>

several minutes that exists prior to the spinodal decomposition even for methanol wet phase inversion without evaporation, the experimental results have shown that the tensile strength of the concentrated CA skin layer increased during this delayed interval is not high enough to resist the interfacial tension caused by the spinodal decomposition of the substructure. Therefore, the addition of the evaporation step and the following quench must make the CA concentration of the skin layer increase and the tensile strength greater than the interfacial tension from the spinodal decomposition. It is shown that the evaporation time  $>20\text{--}40$  s can meet the requirement. We will report the dynamic analysis during the quench in a future publication.

### CONCLUSIONS

A ternary diffusion model was developed for the evaporation step of the phase inversion process. Calculations were carried out for the methanol–acetone–CA ternary casting system to illustrate the importance of the evaporation step for the defect-free, ultrathin skinned asymmetric CA membrane for the separation of  $\text{CO}_2/\text{CH}_4$ . The combined analysis of quantitatively computational results from the ternary evaporation model and qualitative dynamic results during the quench process have shown that the skin layer of high CA concentration obtained by evaporation has an ability to suppress liquid–liquid phase separation. Furthermore, the skin layer with high tensile strength can resist the interfacial tension caused by spinodal decomposition from the substructure.

Although the CA concentration in the skin layer increased considerably because of the evaporation step and the following delay time during the quench process, the substructure can still induce the spinodal decomposition because the strong coagulant, methanol, can diffuse rapidly across the ultrathin skin layer containing a high content of methanol. Hence, the

defect-free, ultrathin-skinned asymmetric membrane for gas separation can be prepared from the methanol–acetone–CA casting system by an evaporation step and the wet phase inversion.

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